

Structured root finding via eigenvalues

Beyond toric varieties

Simon Telen

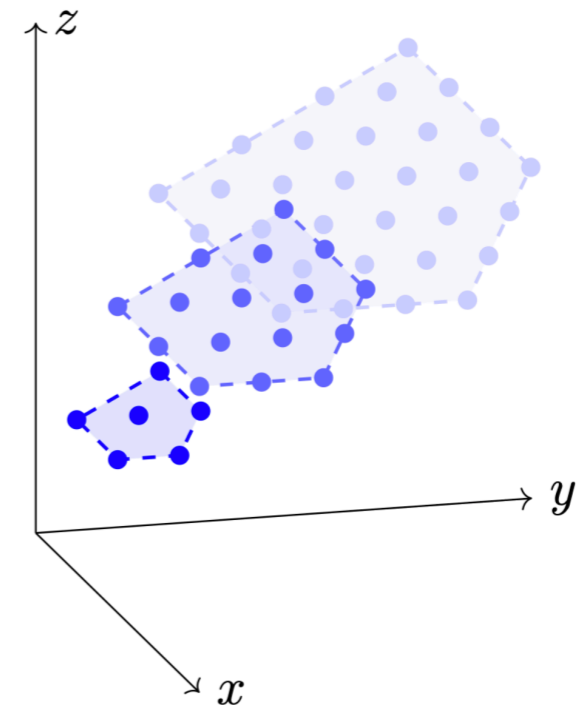
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arXiv > math > arXiv:2306.14871

Mathematics > Algebraic Geometry

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Solving Equations Using Khovanskii Bases

Barbara Betti, Marta Panizzut, Simon Telen

Solving polynomial equations

find $(t_1, t_2) \in K^2$ **such that**

$$0 = a_0 + a_1 t_1 t_2 + a_2 t_1^2 t_2 + a_3 t_1 t_2^2$$

$$0 = b_0 + b_1 t_1 t_2 + b_2 t_1^2 t_2 + b_3 t_1 t_2^2$$

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find $u = (u_0 : u_1 : u_2) \in \mathbb{P}_K^2$ **such that**

$$0 = a_0 u_0^3 + a_1 u_0 u_1 u_2 + a_2 u_1^2 u_2 + a_3 u_1 u_2^2$$

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find $x = (x_0 : \cdots : x_3) \in X \subset \mathbb{P}_K^3$ **such that**

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$$0 = b_0 x_0 + b_1 x_1 + b_2 x_2 + b_3 x_3$$

$$X = \overline{\text{im}(t \mapsto (1 : t_1 t_2 : t_1^2 t_2 : t_1 t_2^2))}$$



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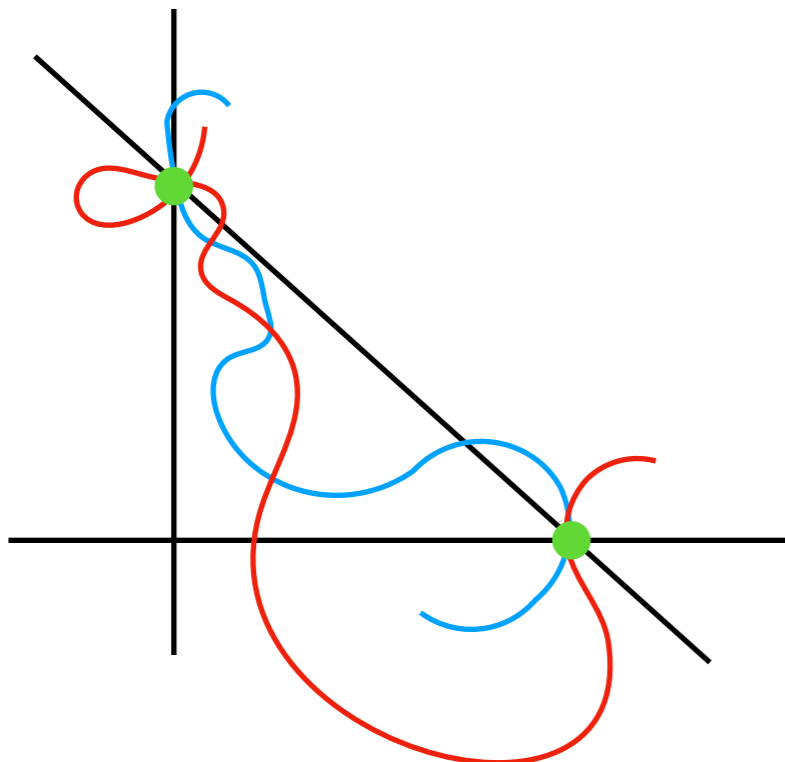
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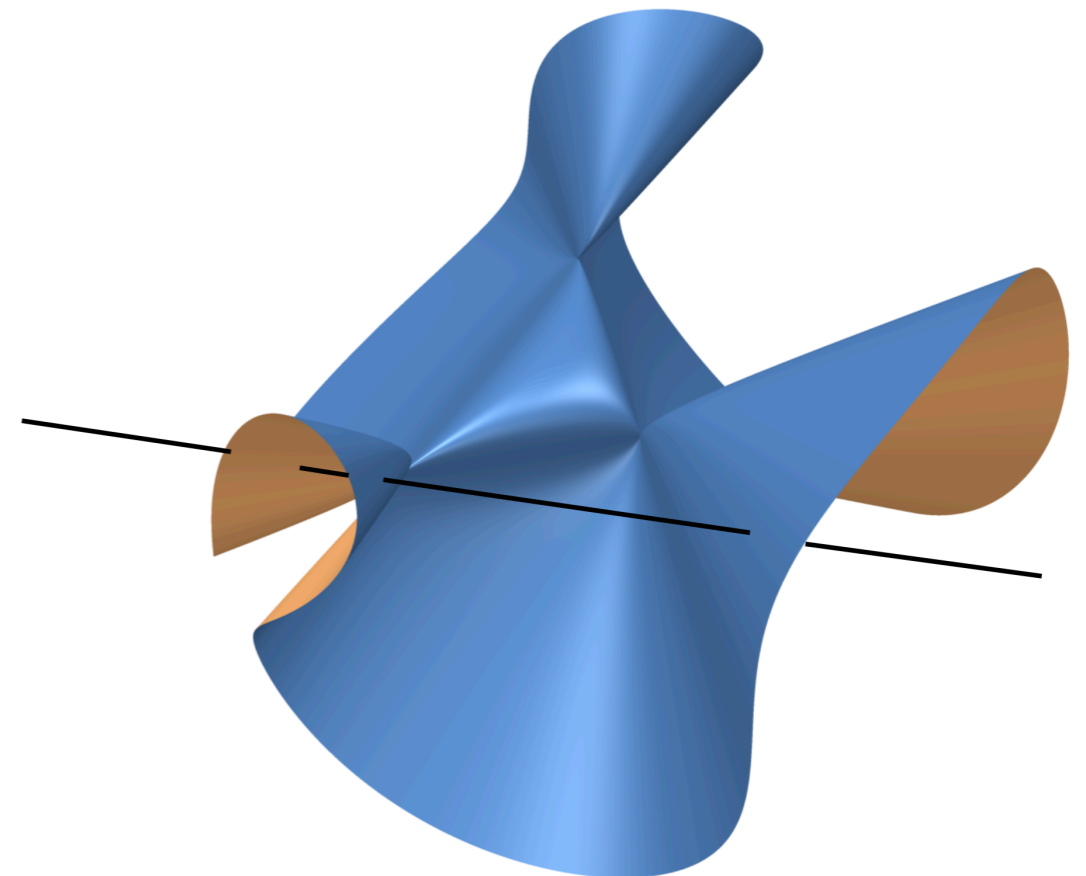
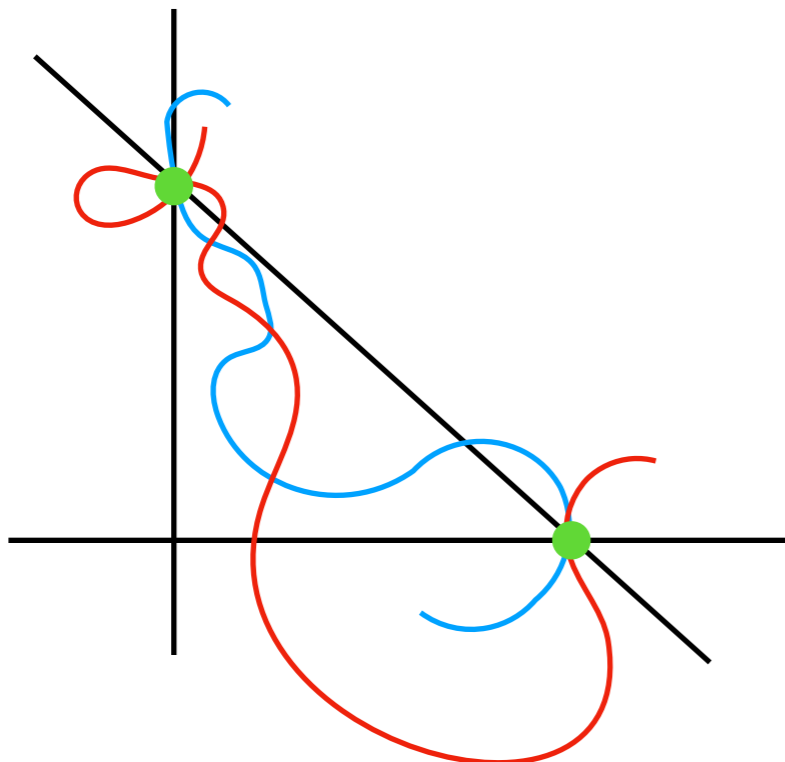
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$$x_1^3 - x_0 x_2 x_3 = 0$$

Solving polynomial equations

General strategy:

0. formulation

find $(t_1, t_2) \in K^2$ such that

$$0 = a_0 + a_1 t_1 t_2 + a_2 t_1^2 t_2 + a_3 t_1 t_2^2$$

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find $x \in X \subset \mathbb{P}^3$ such that

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Solving polynomial equations

General strategy:

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1. build a matrix

find $(t_1, t_2) \in K^2$ such that

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$M(d)$

Solving polynomial equations

General strategy:

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$N(d)$

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$M(d)$

$N(d)$

$Av = \lambda v$

Solving polynomial equations

General strategy:

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EXPENSIVE

CHEAP

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$$X = \overline{\text{im}(t \mapsto (1 : t_1 t_2 : t_1^2 t_2 : t_1 t_2^2))}$$

$M(d)$

$N(d)$

$Av = \lambda v$

0. formulation

equations generate an ideal $I = \langle f_1, f_2 \rangle \subset K[X]$

$$\langle a_0 x_0 + a_1 x_1 + a_2 x_2 + a_3 x_3, b_0 x_0 + b_1 x_1 + b_2 x_2 + b_3 x_3 \rangle \subset K[X] = K[x_0, x_1, x_2, x_3] / \langle x_1^3 - x_0 x_2 x_3 \rangle$$

Solving polynomial equations

General strategy:

0. formulation

1. build a matrix

2. compute kernel

3. solve EVP

find $(t_1, t_2) \in K^2$ such that

$$\begin{aligned} 0 &= a_0 + a_1 t_1 t_2 + a_2 t_1^2 t_2 + a_3 t_1 t_2^2 \\ 0 &= b_0 + b_1 t_1 t_2 + b_2 t_1^2 t_2 + b_3 t_1 t_2^2 \end{aligned}$$

find $x \in X \subset \mathbb{P}^3$ such that

$$\begin{aligned} 0 &= a_0 x_0 + a_1 x_1 + a_2 x_2 + a_3 x_3 \\ 0 &= b_0 x_0 + b_1 x_1 + b_2 x_2 + b_3 x_3 \\ X &= \overline{\text{im}(t \mapsto (1 : t_1 t_2 : t_1^2 t_2 : t_1 t_2^2))} \end{aligned}$$

$M(d)$

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1. build a matrix

$M(d)$ columns: basis for $K[X]_d$ rows: generate I_d

$$M(1) = \begin{matrix} & x_0 & x_1 & x_2 & x_3 \\ \begin{matrix} f_1 \\ f_2 \end{matrix} & \begin{pmatrix} a_0 & a_1 & a_2 & a_3 \\ b_0 & b_1 & b_2 & b_3 \end{pmatrix} \end{matrix}$$

Solving polynomial equations

General strategy:

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2. compute kernel EXPENSIVE

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$$M(1) = \begin{matrix} & x_0 & x_1 & x_2 & x_3 \\ f_1 & a_0 & a_1 & a_2 & a_3 \\ f_2 & b_0 & b_1 & b_2 & b_3 \end{matrix}$$

$$M(2) = \begin{matrix} & x_0^2 & x_0 x_1 & x_0 x_2 & x_0 x_3 & x_1^2 & x_1 x_2 & x_2^2 & x_1 x_3 & x_2 x_3 & x_3^2 \\ x_0 f_1 & a_0 & a_1 & a_2 & a_3 & & & & & & \\ x_1 f_1 & & a_0 & & & a_1 & a_2 & & a_3 & & \\ x_2 f_1 & & & a_0 & & & a_1 & a_2 & & a_3 & \\ x_3 f_1 & & & & a_0 & & & & a_1 & a_2 & a_3 \\ x_0 f_2 & b_0 & b_1 & b_2 & b_3 & & & & & & \\ x_1 f_2 & & b_0 & & & b_1 & b_2 & & b_3 & & \\ x_2 f_2 & & & b_0 & & & b_1 & b_2 & & b_3 & \\ x_3 f_2 & & & & b_0 & & & & b_1 & b_2 & b_3 \end{matrix}$$

size: Hilbert function of X

d : regularity of $K[X]/I$

Macaulay matrices

find $(t_1, t_2) \in K^2$ such that

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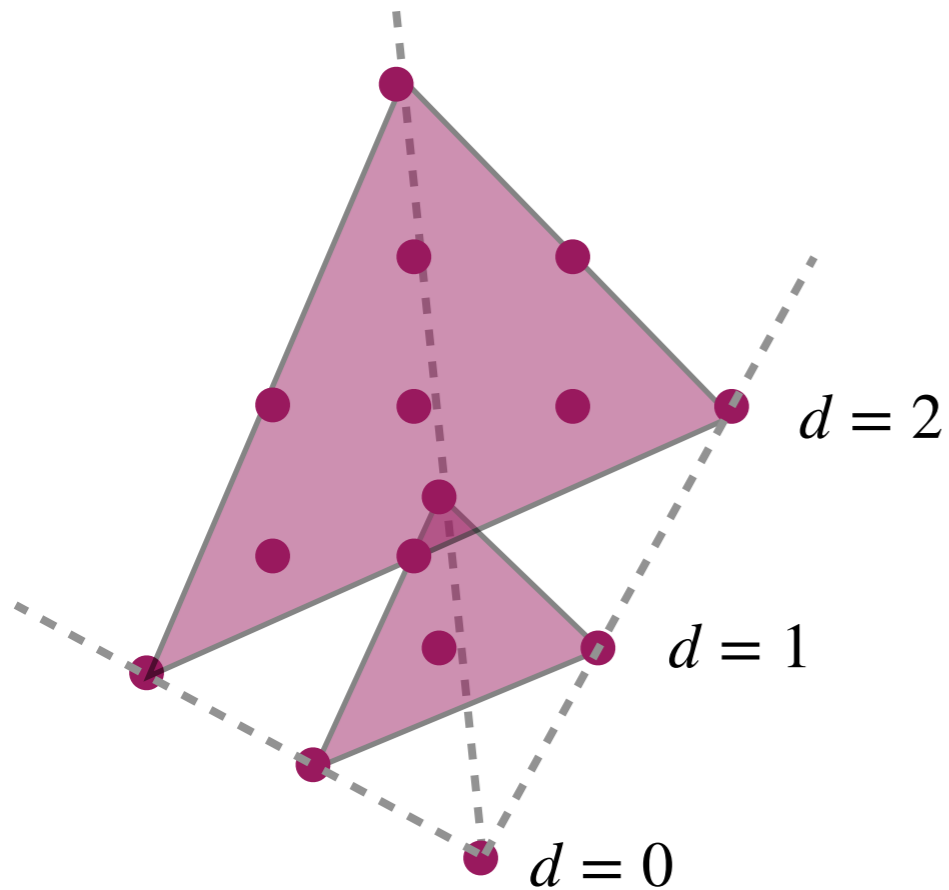
$$\begin{aligned} \langle f_1, f_2 \rangle \subset K[X] &= K[x_0, x_1, x_2, x_3] / \langle x_1^3 - x_0 x_2 x_3 \rangle \\ &\simeq K[t_0, t_0 t_1 t_2, t_0 t_1^2 t_2, t_0 t_1 t_2^2] =: R \end{aligned}$$

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$$R = \bigoplus_{d=0}^{\infty} R_d, \quad \deg(t_0^d \cdot f(t_1, t_2)) = d$$



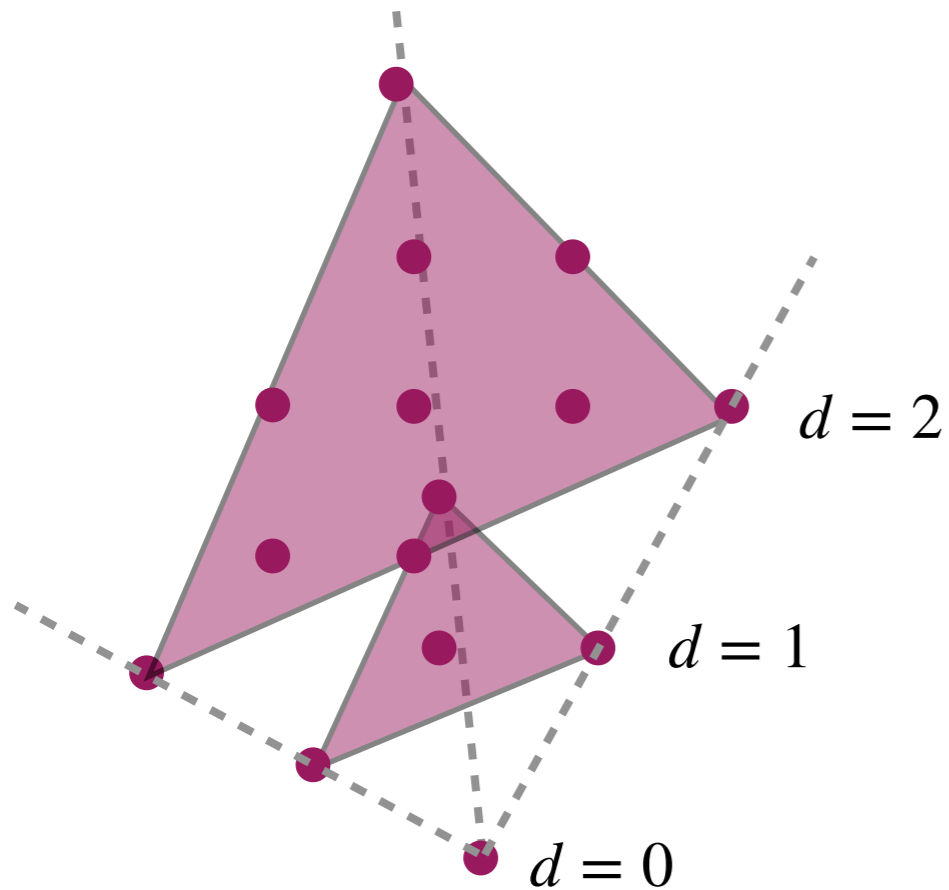
Macaulay matrices

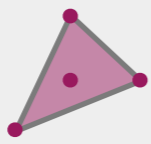
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$$\langle f_1, f_2 \rangle \subset K[X] = K[x_0, x_1, x_2, x_3] / \langle x_1^3 - x_0 x_2 x_3 \rangle$$

$$\simeq K[t_0, t_0 t_1 t_2, t_0 t_1^2 t_2, t_0 t_1 t_2^2] =: R$$

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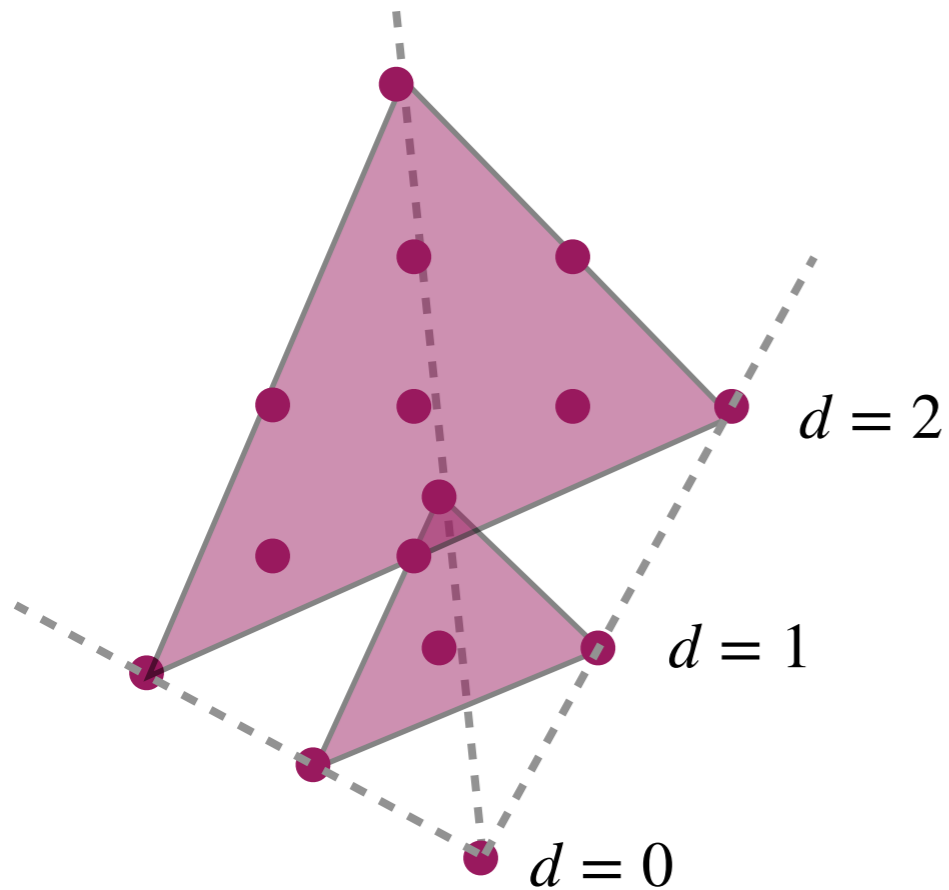
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$$M(1) = \begin{matrix} f_1 \\ f_2 \end{matrix} \begin{matrix} x_0 & x_1 & x_2 & x_3 \\ \left(\begin{array}{cccc} a_0 & a_1 & a_2 & a_3 \\ b_0 & b_1 & b_2 & b_3 \end{array} \right) \end{matrix}$$

$$M(2) = \begin{matrix} x_0 f_1 \\ x_1 f_1 \\ x_2 f_1 \\ x_3 f_1 \\ x_0 f_2 \\ x_1 f_2 \\ x_2 f_2 \\ x_3 f_2 \end{matrix} \begin{pmatrix} a_0 & a_1 & a_2 & a_3 & & & & & & \\ & a_0 & & & a_1 & a_2 & & a_3 & & \\ & & a_0 & & & a_1 & a_2 & & a_3 & \\ & & & a_0 & & & & a_1 & a_2 & a_3 \\ b_0 & b_1 & b_2 & b_3 & & & & & & \\ & b_0 & & & b_1 & b_2 & & b_3 & & \\ & & b_0 & & & b_1 & b_2 & & b_3 & \\ & & & b_0 & & & & b_1 & b_2 & b_3 \end{pmatrix}$$

Beyond toric varieties

Sometimes **0. formulation** is for free:

Compute all lines in 3-space touching four given lines

Beyond toric varieties

Sometimes **0. formulation** is for free:

Compute all lines in 3-space touching four given lines

find $p = (p_{12} : \dots : p_{34}) \in \text{Gr}(2,4) \subset \mathbb{P}^5$ **such that**

$$0 = a_{12}p_{12} + a_{13}p_{13} + a_{14}p_{14} + a_{23}p_{23} + a_{24}p_{24} + a_{34}p_{34}$$

$$0 = b_{12}p_{12} + b_{13}p_{13} + b_{14}p_{14} + b_{23}p_{23} + b_{24}p_{24} + b_{34}p_{34}$$

$$0 = c_{12}p_{12} + c_{13}p_{13} + c_{14}p_{14} + c_{23}p_{23} + c_{24}p_{24} + c_{34}p_{34}$$

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$$0 = d_{12}p_{12} + d_{13}p_{13} + d_{14}p_{14} + d_{23}p_{23} + d_{24}p_{24} + d_{34}p_{34}$$

expand in monomials and solve on TV



solve directly on the Grassmannian



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$$f_1 = -7\phi_0 + 10\phi_1 + 17\phi_2 - 10\phi_3 - 17\phi_4 + 16\phi_5, \quad f_2 = 2\phi_0 + 5\phi_1 + 5\phi_2 + 5\phi_3 - 6\phi_4 - 6\phi_5$$

Beyond toric varieties

Sometimes **0. formulation** is for free:

Compute all lines in 3-space touching four given lines

find $p = (p_{12} : \dots : p_{34}) \in \text{Gr}(2,4) \subset \mathbb{P}^5$ **such that**

$$0 = a_{12}p_{12} + a_{13}p_{13} + a_{14}p_{14} + a_{23}p_{23} + a_{24}p_{24} + a_{34}p_{34}$$

$$0 = b_{12}p_{12} + b_{13}p_{13} + b_{14}p_{14} + b_{23}p_{23} + b_{24}p_{24} + b_{34}p_{34}$$

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find $x = (x_0 : \dots : x_5) \in X \subset \mathbb{P}^5$ **such that**

$$0 = -7x_0 + 10x_1 + 17x_2 - 10x_3 - 17x_4 + 16x_5$$

$$0 = 2x_0 + 5x_1 + 5x_2 + 5x_3 - 6x_4 - 6x_5$$

$$X = \overline{\text{im}(t \mapsto (t_1 - t_2 : t_2^2 - t_2 : \dots : t_1^2 t_2 - t_2))}$$

A del Pezzo surface of degree 5

Generalized Macaulay matrices

$$\phi_0 = t_1 - t_2, \quad \phi_1 = t_2^2 - t_2, \quad \phi_2 = t_1 t_2 - t_2, \quad \phi_3 = t_1^2 - t_2, \quad \phi_4 = t_1 t_2^2 - t_2, \quad \phi_5 = t_1^2 t_2 - t_2$$

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$$K[X] = K[x_0, \dots, x_5] / \langle 5 \text{ quadrics, } 1 \text{ cubic} \rangle$$

$$M(1) = \begin{matrix} & \begin{matrix} x_0 & x_1 & x_2 & x_3 & x_4 & x_5 \end{matrix} \\ \begin{matrix} f_1 \\ f_2 \end{matrix} & \begin{bmatrix} -7 & 10 & 17 & -10 & -17 & 16 \\ 2 & 5 & 5 & 5 & -6 & -6 \end{bmatrix} \end{matrix} \quad \mathbf{2 \times 6}$$

Khovanskii bases

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or SAGBI basis, canonical basis, ...

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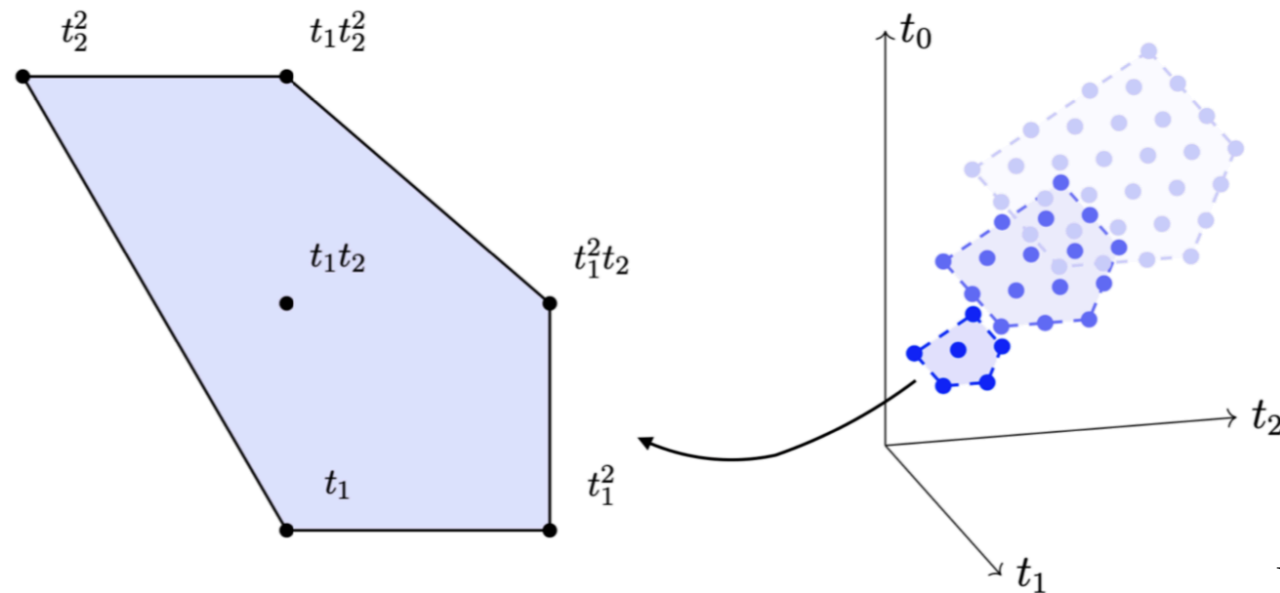
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$d :$	0	1	2	3	...
$\text{HF}_{K[X]}(d) :$	1	6	16	31	...

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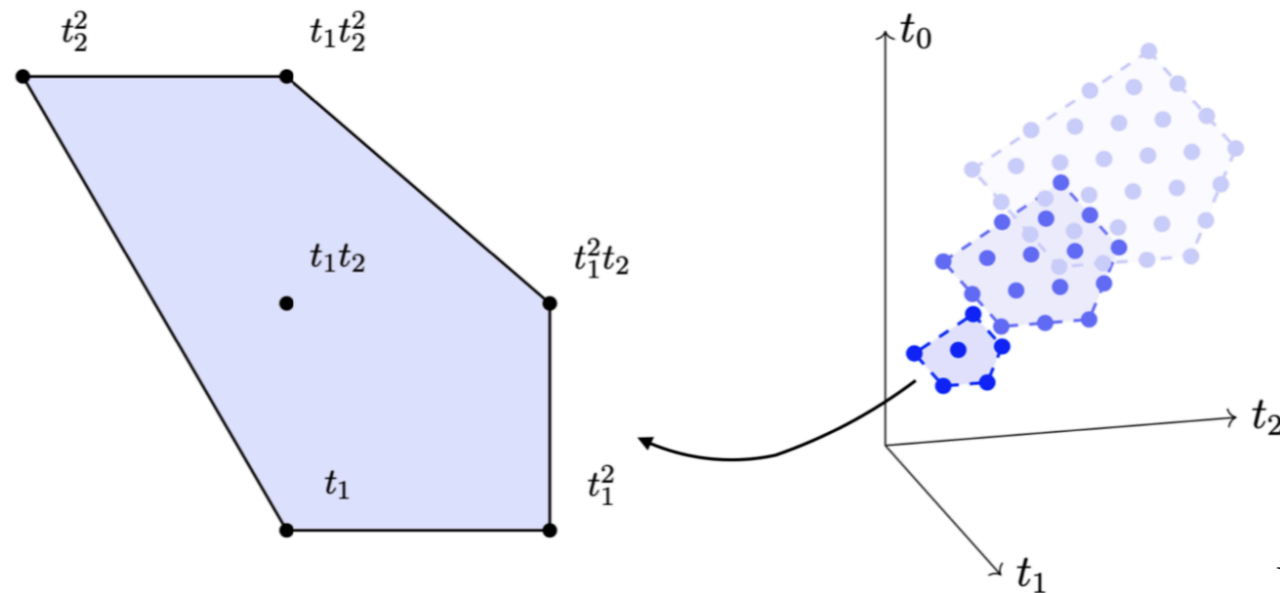
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$d :$	0	1	2	3	...
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For each dot at level d , it is easy to construct a basis monomial of $K[X]_d$

Equations on a del Pezzo surface

$$\phi_0 = t_1 - t_2, \quad \phi_1 = t_2^2 - t_2, \quad \phi_2 = t_1 t_2 - t_2, \quad \phi_3 = t_1^2 - t_2, \quad \phi_4 = t_1 t_2^2 - t_2, \quad \phi_5 = t_1^2 t_2 - t_2$$

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```
1 d = 2; p = 9716633; K = GF(p)
2 R, (t1,t2) = PolynomialRing(K, ["t1";"t2"])
3 φ = [t1-t2; t2^2-t2; t1*t2-t2; t1^2-t2; t1*t2^2-t2; t1^2*t2-t2]
4 S, x = PolynomialRing(K, ["x$i" for i = 1:length(φ)])
5 f = [-7 10 17 -10 -17 16; 2 5 5 5 -6 -6]*φ;
6 degs_f = [1;1]; dreg = sum(degs_f)+1;
7 leadexps = [leadexp(h, [-2;-1]) for h in φ]
8 Mul = get_commuting_matrices(f,dreg,degs_f,φ,K,(t1,t2),leadexps)
```

Equations on a Bott-Samelson threefold

$$f_1 = 1 + t_1 + t_2 + t_3 + t_1t_3 + t_2t_3 + t_1(t_1t_3 + t_2) + t_2(t_1t_3 + t_2)$$

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$$f_3 = 2 + 3t_1 + 5t_2 + 7t_3 + 11t_1t_3 + 13t_2t_3 + 17t_1(t_1t_3 + t_2) + 19t_2(t_1t_3 + t_2)$$

Okounkov bodies and toric degenerations

Authors: Dave Anderson

Numerical homotopies from Khovanskii bases

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0. formulation

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```
φ = [t1^0; t1; t2; t3; t1*t3; t2*t3; t1*(t1*t3+t2); t2*(t1*t3+t2)]
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2

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```

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```
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```

1

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```
K = QQ; R, (t1,t2,t3) = PolynomialRing(K, ["t1";"t2";"t3"]) 1
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degs_f = [1;1;1]; dreg = 3; leadexps = [leadexp(h, [0;-1;0]) for h in φ] 1
sols = solve_Khovanskii(f,dreg,degs_f,φ,(t1,t2,t3),leadexps) 2
3
```

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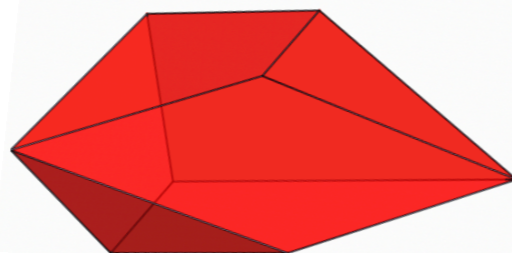
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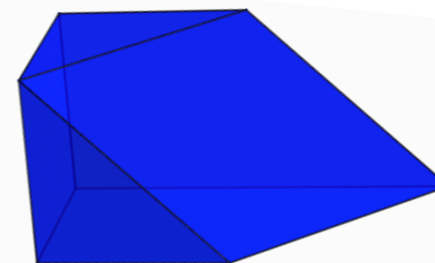
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-> 6 solutions 3

Vol = 10



Vol = 6



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Complete intersections

$$I = \langle f_1, \dots, f_n \rangle \subset K[X], \quad V_X(I) = Z = \{z_1, \dots, z_r\}$$

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There exists an integer L such that, for all $d \geq L$ the Hilbert function is given by

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Theorem. If X is arithmetically Cohen-Macaulay, then

$$d_{\text{reg}} \leq \sum_{i=1}^n \deg(f_i) + L + 1$$

Intersecting Chow forms

Good news: the Plücker embedding provides a Khovanskii basis!

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q degree 4 equations in Plücker coordinates + $(4-q)$ degree 2 equations

q	# solutions	d_{reg}	$\text{HF}_{K[X]}(d_{\text{reg}})$	# equations	$t_{\mathbb{Q}}$	$t_{\mathbb{F}_{9716633}}$
0	32	6	336	4	39s	4s
1	64	8	825	4	711s	36s
2	128	10	1716	4	6833s	247s
3	256	12	3185	4	55319s	1336s
4	512	14	5440	4	×	5572s

Table 2: Computational results for intersecting Chow forms on $\text{Gr}(2, 4)$.

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size of the matrix

Theorem (Betti, Panizzut, T)

For a complete intersection on $\text{Gr}(k, m)$, $d_{\text{reg}} = \sum_i d_i - m + 2$.

Khovanskii bases are hot!

3. [arXiv:2306.07897](#) [pdf, ps, other] math.AG cond-mat.soft math-ph nlin.PS physics.class-ph
Khovanskii bases for semimixed systems of polynomial equations -- a case of approximating stationary nonlinear Newtonian dynamics
Authors: Viktoriia Borovik, Paul Breiding, Javier del Pino, Mateusz Michałek, Oded Zilberberg
4. [arXiv:2302.12473](#) [pdf, ps, other] math.AC cs.MS
SubalgebraBases in Macaulay2
Authors: Michael Burr, Oliver Clarke, Timothy Duff, Jackson Leaman, Nathan Nichols, Elise Walker
10. [arXiv:2208.08179](#) [pdf, other] math.AG
The Algebraic Degree of Coupled Oscillators
Authors: Paul Breiding, Mateusz Michałek, Leonid Monin, Simon Telen
11. [arXiv:2208.05258](#) [pdf, ps, other] math.AG math.CO
Discrete geometry of Cox rings of blow-ups of \mathbb{P}^3
Authors: Mara Belotti, Marta Panizzut
15. [arXiv:2206.07838](#) [pdf, ps, other] math.AG
Generic root counts and flatness in tropical geometry
Authors: Paul Alexander Helminck, Yue Ren
29. [arXiv:2008.13055](#) [pdf, ps, other] math.AG
Numerical homotopies from **Khovanskii** bases \longrightarrow MathComp '23
Authors: Michael Burr, Frank Sottile, Elise Walker

Thank you!

From kernel to EVP

- (1) Construct $M(d_{\text{reg}})$ and let $N(d_{\text{reg}})$ be its kernel
- (2) View $N(d_{\text{reg}})$ as a map $N : K[X]_{d_{\text{reg}}} \rightarrow K^\delta$ $\delta = \text{number of solutions}$
- (3) Set $d := d_{\text{reg}} - 1$. For any degree one form $p \in K[X]_1$, define

$$N_p : K[X]_d \longrightarrow K^\delta, \quad f \longmapsto N(pf)$$

- (4) Pick a random $h \in K[X]_1$

Theorem 4.5. *With the above assumptions and notation, there exists a subspace $B \subset K[X]_d$ such that the restriction $(N_h)|_B$ is invertible. Moreover, for any $p \in K[X]_1$, the eigenvalues of $(N_h)|_B^{-1} \circ (N_p)|_B : B \rightarrow B$ are $\frac{p}{h}(z)$, for $z \in V_X(I)$.*